The v-number of edge ideals

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Fall Central Virtual Sectional Meeting (formerly at University of Texas at El Paso) American Mathematical Society September 12-13, 2020 Let $S = K[t_1, ..., t_s] = \bigoplus_{d=0}^{\infty} S_d$ be a polynomial ring over a field *K* with the standard grading.

Let G be a simple graph with vertex set

$$V(G) = \{t_1, \ldots, t_s\}$$

and edge set E(G).

Some of the results presented here hold for clutters (simple hypergraphs) but for simplicity we focus on graphs.

The *edge ideal* of *G*, denoted I(G) or simply *I*, is the ideal of *S* generated by all squarefree monomials

$$t_e := t_i t_j$$

such that $e = \{t_i, t_j\} \in E(G)$.

A prime ideal p of S is an *associated prime* of I if

$$\mathfrak{p} = (I: f) := \{g \in S \mid gf \in I\}$$

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for some $f \in S_d$.

The v-number of *I*, denoted v(I), is the following invariant that was introduced by Cooper, Seceleanu, Tohǎneanu, Vaz Pinto, –, to study Reed–Muller codes:

$$\mathsf{v}(I) := \min\{d \mid \exists f \in S_d, \mathfrak{p} \in \operatorname{Ass}(I), \text{ with } (I: f) = \mathfrak{p}\}.$$

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If reg(S/I) is the Castelnuovo–Mumford *regularity* of S/I, then one has

 $\operatorname{reg}(S/I) \leq \dim(S/I).$

We will show that in some interesting cases one has

 $v(I) \leq reg(S/I).$

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A set *A* of vertices of *G* is *stable* or *independent* if $e \not\subset A$ for any $e \in E(G)$. A set *C* of vertices of *G* is a *vertex cover* if $V(G) \setminus C$ is stable. A *minimal vertex cover* is a vertex cover which is minimal with respect to inclusion.

The *neighbor set* of *A*, denoted $N_G(A)$, is the set of all vertices of *G* that are adjacent with at least one vertex of *A*.

Let \mathcal{A}_G be the family of all stable sets A of G whose neighbor set $N_G(A)$ is a minimal vertex cover of G.

We give a combinatorial formula for the v-number.

Theorem

Let I = I(G) be the edge ideal of a graph G. Then

$$\mathbf{v}(I(G)) = \min\{|A| \colon A \in \mathcal{A}_G\}.$$

The *independence number* of *G*, denoted $\beta_0(G)$, is the number of vertices in any largest stable set of vertices of *G*. The Krull dimension of S/I(G) is equal to $\beta_0(G)$.

Let G be the graph below. Then

$$I = I(G) = (t_1 t_3, t_2 t_3, t_3 t_4, t_4 t_5, t_4 t_6),$$

v(I) = 1, $\dim(S/I) = \beta_0(G) = 4$, reg(S/I) = 1.



Corollary

$$v(I(G)) \leq \beta_0(G) = \dim(S/I(G)).$$

Proof

Any maximal stable set A is in \mathcal{A}_{G} . Thus, by the previous theorem, one has

$$\mathsf{v}(I(G)) \leq |A| \leq \beta_0(G).$$

The v-number is additive

If G_1, \ldots, G_r are the connected components of G, then

$$\mathsf{v}(I(G))=\mathsf{v}(I(G_1))+\cdots+\mathsf{v}(I(G_r)).$$

The simplicial complex Δ_G whose faces are the stable sets of *G* is called the *independence complex* of *G*.

Theorem

If Δ_G is vertex decomposable, then

 $v(I(G)) \leq reg(S/I(G)).$

We give an example of a graph *G* with

v(I(G)) > reg(S/I(G)).

It is an open problem whether $v(I(G)) \le reg(S/I(G)) + 1$ holds for any graph *G*.

A graph is *well-covered* if every maximal stable set is a maximum stable set.

A graph *G* belongs to *class* W_2 if $|V(G)| \ge 2$ and any two disjoint stable sets are contained in two disjoint maximum stable sets.

- A complete graph \mathcal{K}_m belongs to W_2 for $m \geq 2$.
- A graph G is in W₂ if and only if G is well-covered, G \ v is well-covered for all v ∈ V(G), and G and has no isolated vertices.

The next result gives an algebraic method to determine if a given graph is in W_2 using *Macaulay*2.

Theorem

Let G be a graph without isolated vertices. Then, G is in W_2 if and only if

 $v(I) = \dim(S/I).$

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The *n*-th symbolic power of I = I(G) is given by

$$I^{(n)} := \bigcap_{i=1}^r \mathfrak{p}_i^n$$

where $\mathfrak{p}_1, \ldots, \mathfrak{p}_r$ are the associated primes of *I*.

There are algebraic characterizations of the Cohen–Macaulay property of $I(G)^{(2)}$ given by T. Hoang, N. C. Minh and T. N. Trung but there is still no characterization of this property in terms of the graph *G*.

An edge in a graph is *critical* if its removal increases the independence number. An *edge-critical graph* is a graph with only critical edges.

Every connected edge-critical graph *G* is a block, that is, $G \setminus v$ is connected for all $v \in V(G)$.

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Using the next result—together with the tables of edge-critical graphs given by M. D. Plummer and B. Small—we give the list of all connected graphs *G* with fewer than 10 vertices such that $I(G)^{(2)}$ is Cohen–Macaulay over a field of characteristic 0.

Theorem

If $I(G)^{(2)}$ is Cohen–Macaulay, then G is edge-critical.

T. Hoang, N. C. Minh, T. N. Trung

If $I(G)^{(2)}$ is Cohen–Macaulay, then G is W_2 .

Table: Connected graphs with $I(G)^{(2)}$ Cohen–Macaulay

| V(G) | Graph G | I = I(G) |
|------|----------------------------------|--|
| 2 | \mathcal{K}_2 | $(t_1 t_2)$ |
| 3 | \mathcal{K}_3 | $(t_1 t_2, t_1 t_3, t_2 t_3)$ |
| 4 | \mathcal{K}_4 | $(t_1t_2, t_1t_3, t_1t_4, t_2t_3, t_2t_4, t_3t_4)$ |
| 5 | t_1 t_2 t_4 t_3 | $(t_1 t_2, t_2 t_3, t_3 t_4, t_4 t_5, t_1 t_5)$ |
| | 10 | |
| 5 | \mathcal{K}_5 | $I(\mathcal{K}_5)$ |
| 6 | \mathcal{K}_{6} | $I(\mathcal{K}_6)$ |

Table: Connected graphs with $I(G)^{(2)}$ Cohen–Macaulay

| V(G) | Graph G | I = I(G) |
|------|---|--|
| 6 | t_1 t_5 t_4 t_6 t_3 | $(t_1 t_2, t_1 t_5, t_1 t_6, t_2 t_3, t_2 t_6, t_3 t_4, t_4 t_5, t_5 t_6)$ |
| 7 | <i>K</i> ₇ | <i>Ι</i> (<i>K</i> ₇) |
| 7 | t_1 t_2 t_6 t_3 | $(t_1 t_2, t_1 t_3, t_1 t_6, t_1 t_7, t_2 t_3, t_2 t_6, t_3 t_4, t_4 t_5, t_5 t_6, t_3 t_7, t_6 t_7, t_2 t_7)$ |

Table: Connected graphs with $I(G)^{(2)}$ Cohen–Macaulay

| V(G) | Graph G | I = I(G) |
|------|--|--|
| 7 | t_1 t_2 t_6 t_5 t_4 | $(t_1 t_2, t_1 t_3, t_1 t_6, t_1 t_7, t_2 t_3, t_2 t_4, t_3 t_4, t_4 t_5, t_5 t_6, t_5 t_7, t_6 t_7)$ |
| 7 | t_1 t_2 t_6 t_1 t_2 t_3 | $(t_1 t_2, t_5 t_3, t_4 t_6, t_1 t_7, t_2 t_3, t_2 t_4, t_3 t_4, t_4 t_5, t_5 t_6, t_5 t_7, t_6 t_7, t_3 t_6)$ |

| <i>V</i> (<i>G</i>) | Graph G | I = I(G) |
|-----------------------|--|--|
| 8 | \mathcal{K}_{8} | $I(\mathcal{K}_8)$ |
| 8 | t_1 t_2 t_6 t_5 t_8 t_4 | $(t_1 t_3, t_1 t_2, t_1 t_6, t_1 t_7, t_2 t_7, t_2 t_6, t_2 t_4, t_3 t_7, t_3 t_5, t_3 t_8, t_4 t_5, t_4 t_6, t_4 t_8, t_5 t_7, t_5 t_8, t_6 t_8)$ |

Theorem

Let *G* be a graph. If $\beta_0(G) = 2$, then $I(G)^{(2)}$ is Cohen–Macaulay if and only if *G* is edge-critical.

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If *G* is an edge-critical graph without isolated vertices and $\beta_0(G) = 2$, we prove that *G* is in W_2 , then using that any 1-dimensional connected complex is vertex decomposable (Provan and Billera), we prove that

$$v(I(G)) = \operatorname{reg}(S/I(G)) = 2$$

For graphs *G* with independence number at least 3 and second symbolic power Cohen–Macaulay, it is an open problem whether v(I(G)) = reg(S/I(G)).

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